

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

11/12/2004

Robert Kevin Perkins, Esq.
 EMC Corporation
 Office of the General Counsel
 35 Parkwood Drive
 Hopkinton, MA 01748



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Sandra A. Kulaga	(Depositor's name)
<i>Sandra A. Kulaga</i>	(Signature)
<i>December 15, 2004</i>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/997,926	11/30/2001	Tzvi V. Rubenstein	EMC-01-187	5336

TITLE OF INVENTION: SYSTEM AND METHOD FOR RISK MANAGEMENT OF ERRORS IN SOFTWARE PROGRAM CODE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$0	\$1370	02/14/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
BONURA, TIMOTHY M	2114	714-038000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 **Robert Kevin Perkins**
- 2 **Leanne J. Fitzgerald**
- 3 **John M. Gunther**

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

EMC Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hopkinton, MA 01748

12/20/2004 BABRAHA2 00000042 050889 09997926

01 FC:1501	1400.00 DA
02 FC:1504	300.00 DA
03 FC:8001	3.00 DA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 1

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 05-0889 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Robert Kevin Perkins

Date

December 14, 2004

Typed or printed name

Robert Kevin Perkins

Registration No.

36,634

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

Application No.: **09/997,926**
 Filing Date: **November 30, 2001**
 Confirmation No.: **5336**
 First Named Inventor: **Tzvi V. Rubenstein**
 Group Art Unit: **2114**
 Examiner: **Bonura, Timothy M.**
 Customer No.: **24227**
 Docket No.: **EMC-01-187**

Total Number of Pages in this Submission:

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Authorization for the Commissioner to charge Deposit Account No. 05-0889 (in duplicate)

<input type="checkbox"/> Amendment

<input type="checkbox"/> After Final

<input type="checkbox"/> Affidavits/Decl. Terminal Disclaimer

<input type="checkbox"/> Extension of Time Request for 3 Months

<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Assignment Recordation Cover Sheet

<input type="checkbox"/> Declaration/Power of Attorney
<input type="checkbox"/> Associate Power of Attorney

<input type="checkbox"/> Revocation of Power of Attorney
<input type="checkbox"/> Formal Drawings
<input type="checkbox"/> Letter to Official Draftsperson with ___ sheets of Formal Drawings, Figs. 1 through 11, labeled.
<input type="checkbox"/> Copy of PTO 948, "Notice of Draftsperson's Patent Review"
<input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Petition for Revival of an Unintentionally Abandoned Application [37 CFR 1.137(b)] (in duplicate)
<input type="checkbox"/> Continued Prosecution Application (CPA)
<input checked="" type="checkbox"/> Form PTOL-85B, Part B -Issue Fee Payment Transmittal," (in duplicate)

<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Certificate of First Class Mailing

<input type="checkbox"/> Certificate of Express Mail Mailing
<input checked="" type="checkbox"/> Postcard
<input type="checkbox"/> Additional Enclosures: |
|--|---|--|

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Date: December 14, 2004 Robert Kevin Perkins
 Robert Kevin Perkins Esq. (Reg. No. 36,634)
 EMC Corporation
 Office of the General Counsel
 176 South Street
 Hopkinton, MA 01748
 Tel: (508) 293-6985
 Fax: (508) 293-7189

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8]

I hereby certify that this correspondence and the above-referenced documents are being:

- ☒ Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
☐ Transmitted by facsimile on the date shown below to the Patent and Trademark Office at (703)

December 15, 2004
 Date

Sandra A. Kulaga
 Signature

Sandra A. Kulaga

Typed or printed name of person signing certificate



PATENT
EMC-01-187

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Tzvi V. Rubenstein	GROUP ART UNIT:	2114
U.S.S.N.:	09/997,926	EXAMINER:	Bonura, Timothy M.
FILING DATE:	November 30, 2001	CUSTOMER NO.	24227
TITLE:	SYSTEM AND METHOD FOR RISK MANAGEMENT OF ERRORS IN SOFTWARE PROGRAM CODE		

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 15, 2004.

Sandra A. Kulaga

Mail Stop: Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF ISSUE FEE

Sir:

Enclosed herewith for filing is Form PTOL-85B, "Part B – Issue Fee Payment


Transmittal" (in duplicate), and authorization for the Commissioner to charge Deposit Account

No. 05-0889 for fees associated with this transaction.

Applicant: Tzvi V. Rubenstein
U.S.S.N.: 09/997,926
Filing Date: November 30, 2001
EMC Docket No.: EMC-01-187

Respectfully submitted,

Dated: December 14, 2001


Robert Kevin Perkins Esq. (Reg. No. 36,634)
Attorney for Applicant
EMC Corporation
176 South Street
Hopkinton, MA 01748
Telephone: (508) 293-6985
Facsimile: (508) 293-7189